

NISQ algorithms 1: QAOA

Yipeng Huang

Rutgers University

September 23, 2020

Near-term intermediate-scale quantum (NISQ) computers

The limitations of near term quantum computers

- | NISQ systems have limited number of qubits:
No error correction.
(In contrast, error corrected Shor's would need a million qubits.)
- | NISQ systems have limited coherence time:
Relative shallow depth of circuits.
(In contrast, error corrected Shor's would need hundreds of millions of gates.)
- | NISQ systems have limited operation accuracy

NISQ variational algorithms

Use a classical algorithm to train a "quantum neural network".

Figure: Credit: [Guerreschi and Smelyanskiy, 2017]

NISQ variational algorithms

Use a classical algorithm to train a "quantum neural network".

1. Quantum computer prepares a quantum state that is a function of classical parameters.
2. Quantum computer measures quantum state to provide classical observations.
3. Classical computer uses observations to calculate an objective function.
4. Classical computer uses optimization routine to propose new classical parameters to maximize objective function.
5. Repeating steps 1 through 4, the algorithm leads to better approximations to underlying problem.

NISQ variational algorithms

Benefits of quantum-classical scheme:

1. Provides meaningful results even without error correction
2. Shallow circuits (not many operations on each qubit)
3. Draws on strengths of quantum and classical:
4. Prepare and measure a quantum state
5. Optimize for a set of optimal parameters based on classical measurements

NISQ variational algorithms

Great! Can NISQ variational algorithms solve useful problems?

1. Variational quantum eigensolver (VQE):
Simulate quantum mechanics
2. Quantum approximate optimization algorithm (QAOA):
Approximate solutions to constraint satisfaction problems (CSPs) [Farhi et al., 2014]

Constraint satisfaction problems

2 Combinatorial optimization problems

- | Knapsack
- | Traveling salesman
- | Graph coloring
- | MAX-SAT
- | MAX-CUT

Boolean satisfiability problem

- | I-SAT: NP-Complete
- | n bits; m constraints/clauses
- | A Boolean formula consisting of clauses
 $C_1 \wedge C_2 \wedge \dots \wedge C_m$

For example:

$$(: z_0 _ z_1 _ z_2) \wedge (: z_1 _ z_2 _ z_3) \wedge \dots \wedge C_m$$

- | C_i depends only on coordinates of \mathbf{z} .
- | Each clause C_i is either True or False.
for each constraint $i \in [m]$ and each n -bit string $\mathbf{z} \in \{0, 1\}^n$,
define
$$C_i(\mathbf{z}) = \begin{cases} 1 & \text{if } \mathbf{z} \text{ satisfies the constraint} \\ 0 & \text{if } \mathbf{z} \text{ does not} \end{cases}$$

Constraint satisfaction problem (CSP): MAX-SAT

- | MAX-SAT: NP-Hard
- | A Boolean formula consisting of m clauses
 $C_1 \wedge C_2 \wedge \dots \wedge C_m$
- | Each clause C_i is either True or False.
for each constraint $i \in [m]$ and each n -bit string $z \in \{0, 1\}^n$,
define
$$C_i(z) = \begin{cases} 1 & \text{if } z \text{ satisfies the constraint} \\ 0 & \text{if } z \text{ does not} \end{cases}$$
- | Satisfy as many clauses as possible to maximize objective function $C(z)$:

$$\max_z C(z) = \max_z \sum_{i=1}^m C_i(z)$$

Approximate MAX-SAT

Approximate the maximum:

$$\max_{\mathbf{z}} C(\mathbf{z}) = \max_{\mathbf{z}} \sum_{i=1}^m C_i(\mathbf{z})$$

Constraint satisfaction problem (CSP): MAX-CUT

- | Given an arbitrary undirected graph
 $G = (V(G); E(G))$
- | goal of MAX-CUT is to assign one of two partitions to each node so as to maximize the number of cuts

Figure: Credit: Quantum Algorithm Implementations for Beginners Coles.

Constraint satisfaction problem (CSP): MAX-CUT

- | Given an arbitrary undirected graph
 $G = (V(G); E(G))$
- | goal of MAX-CUT is to assign one of two partitions
 $\{ -1, +1 \}$ to each node $i \in V(G)$ so as to maximize the number of cuts
- | Identical form to the MAX-SAT problem with objective

function $C(\sim)$:

$$\max_{\sim} C(\sim) = \sum_{\langle jk \rangle \in E(G)} C_{\langle jk \rangle}(\sim)$$

- | But the constraints are now:

$$C_{\langle jk \rangle}(\sim) = \frac{1}{2}(1 - \sim_j \sim_k) = \begin{cases} 1 & \text{if } \sim_j \text{ and } \sim_k \text{ are different} \\ 0 & \text{if } \sim_j \text{ and } \sim_k \text{ are the same} \end{cases}$$

QAOA for MAX-CUT: general strategy

Figure: Credit: [Guerreschi and Smelyanskiy, 2017]

QAOA for MAX-CUT: general strategy

1. Each node in nodes of the MAX-CUT graph corresponds to one of n qubits in the quantum circuit.
2. The state vector across the qubits i encodes a node partitioning $\sim 2^{n-1}$
3. Put the initial state vector $|s_i\rangle$ in a superposition of all possible node partitionings
4. Need an operator (quantum gate) that encodes an edge $\langle jk \rangle \in E(G)$
5. Provide classical parameters such that the classical computer can control quantum partitioning
6. Perform a series of operations parameterized by classical parameters γ and β such that the final state vector $|(\gamma; \beta)_i\rangle$ is a superposition of good partitionings
7. Optimize for a good set of γ and β

QAOA for MAX-CUT: general strategy

Figure: Credit: Classical variational simulation of the Quantum Approximate Optimization Algorithm. Medvidovic and Carleo.

1. Each node in nodes of the MAX-CUT graph corresponds to one of qubits in the quantum circuit.

Let's use an $n = 3$ example in the figure.

Figure: $G = (V(G); E(G)) = (\{q_0, q_1, q_2\}; \{ \langle q_0q_1 \rangle; \langle q_1q_2 \rangle \})$

$$|j\rangle = |j_0j_1j_2\rangle = |j_0\rangle \otimes |j_1\rangle \otimes |j_2\rangle = \sum_{j_0, j_1, j_2} |j_0j_1j_2\rangle$$

So now we have quantum amplitudes for each of the basis states.

Probability of measuring outcome z is $\sum_{j: z_j = z} |j_z|^2$.

$$\sum_{z=0}^1 \sum_{j: z_j = z} |j_z|^2 = 1$$

2. The state vector across the qubits encodes a node partitioning

Figure: A max-cut corresponding to $|i\rangle = |j010\rangle$.

- | In our $n = 3$ running example $|j\rangle = |j010\rangle$, $x_2 = 1$, $x_0 = x_1 = x_3 = x_4 = x_5 = x_6 = x_7 = 0$, is a max-cut.
- | The other max-cut is $|j\rangle = |j101\rangle$.
- | A superposition $|j\rangle = \frac{1}{\sqrt{2}}|j010\rangle + \frac{1}{\sqrt{2}}|j101\rangle$ would be an equal superposition of the two max cuts.

3. Put the initial state vector $|s_i\rangle$ in a superposition of all possible node partitionings

Figure: Credit: How many qubits are needed for quantum computational supremacy. Dalzell et al.

3. Put the initial state vector $|s_i\rangle$ in a superposition of all possible node partitionings

A superposition across all the bitstrings representing partitionings.

$$|s_i\rangle = |j+i^n\rangle = H^n |j0i^n\rangle = \frac{1}{\sqrt{2^n}} \sum_{z=0}^{2^n-1} |jzi\rangle$$

3. Put the initial state vector $|s_i\rangle$ in a superposition of all possible node partitionings

In our $n = 3$ running example:

$$\begin{aligned}
 & \left(\frac{1}{\sqrt{2}} \right)^3 |j+i\rangle = H^{\otimes 3} |j_0i\rangle = \frac{1}{\sqrt{2^3}} \sum_{z=0}^{2^3-1} |z\rangle = \frac{1}{\sqrt{8}} \sum_{z=0}^7 |z\rangle \\
 & \left(\frac{1}{\sqrt{2}} \right)^3 |z_i\rangle = \left[\frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}}; \frac{1}{\sqrt{8}} \right]^T
 \end{aligned}$$

4. Need an operator (quantum gate) that encodes an edge constraint $C_{\langle jk \rangle} = \frac{1}{2} (1 + z_j z_k)$

In the Max-Cut type of CSP, constraints correspond to edges

$$C_{\langle jk \rangle} = \frac{1}{2} (1 + z_j z_k)$$

z_i is the Pauli-Z operator on qubit i

$$z = \begin{pmatrix} +1 & 0 \\ 0 & -1 \end{pmatrix}$$

Claim: The assignment that maximizes $\sum_{\langle jk \rangle} C_{\langle jk \rangle}$ is the graph partition with the maximum cut.

4. Need an operator (quantum gate) that encodes an $e_{jk} > 2 E(G)$

Figure: A max-cut corresponding to $i = j010$.

- Claim: that maximizes $|C_j - i|$ is the graph partition with the maximum cut.

$$|i010\rangle \langle j010| = \begin{matrix} & \begin{matrix} 2 & 3 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3 & 2 & 3 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{matrix} \\ \begin{matrix} 6 & 0 & 7 & 6 \\ 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 4 & 0 & 5 & 4 \end{matrix} & \begin{matrix} 6 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 7 & 6 & 0 & 7 \\ 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 4 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 7 & 6 & 0 & 7 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \end{matrix} & \begin{matrix} 4 & 0 & 5 & 4 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{matrix} = 2 \end{matrix}$$

5. Provide classical parameters such that the classical computer can control quantum partitioning

Figure: Credit: How many qubits are needed for quantum computational supremacy. Dalzell et al.

5. Provide classical parameters such that the classical computer can control quantum partitioning

- | A parameter p that controls how many iterations of algorithm and how complete of a graph to see.
- | Do optimization across p -dimensional vector of θ_i and $\tilde{\theta}_i$ parameters
- | $(\tilde{\theta}_i; \theta_i) = (\theta_{i,1}; \theta_{i,1}; \dots; \theta_{i,p}; \theta_{i,p})$
- | $\theta_i \in [0; 2\pi]$
- | $\tilde{\theta}_i \in [0; \pi]$

6. Perform a series of operations parameterized by classical parameters θ and ϕ such that the final state vector $|\psi(\theta, \phi)\rangle$ is a superposition of good partitionings

Figure: Credit: How many qubits are needed for quantum computational supremacy. Dalzell et al.

6. Perform a series of operations parameterized by classical parameters α and β such that the final state vector $|\psi\rangle = \sum_j |\alpha_j\rangle$ is a superposition of good partitionings

$U(C; \alpha), U(B; \beta)$ are $2^n \times 2^n$ linear operators (Unitary matrices)

1. Problem Hamiltonian enforces constraints.

A product across all the graph edges.

$$U(C; \alpha) = e^{iC} = \prod_{\langle jk \rangle \in E(G)} e^{iC_{\langle jk \rangle}}$$

2. Admixing Hamiltonian perturbs the assignments.

A product across all the qubits representing graph vertices.

$$U(B; \beta) = e^{iB} = \prod_{q \in V(G)} e^{i\beta_q}$$

6. Perform a series of operations parameterized by classical parameters θ_i and ϕ_i such that the final state vector $|\psi\rangle = \sum_i c_i |\psi_i\rangle$ is a superposition of good partitionings

Figure: Credit: How many qubits are needed for quantum computational supremacy. Dalzell et al.

6. Perform a series of operations parameterized by classical parameters $\vec{\alpha}$ and $\vec{\beta}$ such that the final state vector $|\psi(\vec{\alpha}; \vec{\beta})\rangle$ is a superposition of good partitionings

| Create ansatz states $|\psi(\vec{\alpha}; \vec{\beta})\rangle$

$$|\psi(\vec{\alpha}; \vec{\beta})\rangle = U(B; \vec{\alpha}) U(C; \vec{\beta}) \dots U(B; \alpha_1) U(C; \beta_1) |s\rangle = \prod_{i=1}^{q/2} e^{i \sum_{\langle jk \rangle} \alpha_i X_j X_k} \prod_{i=1}^{q/2} e^{i \sum_{\langle jk \rangle} \beta_i C_{\langle jk \rangle}} |j\rangle$$

$i=1 \quad q/2 \quad V(G) \quad \langle jk \rangle \in E(G)$

7. Optimize for a good set θ and $\tilde{\theta}$

- | Measure this state to compute the objective function. That is, given the current set of parameters θ and $\tilde{\theta}$, how much of the CSP is satisfied
- | Use a classical optimization algorithm such as Nelder-Mead to maximize $F_p(\tilde{\theta}; \theta) = \sum_i h(\tilde{\theta}; \theta)_i C_j(\tilde{\theta}; \theta)_i$

Evaluation of QAOA for NISQ: Number of iterations?

- | Let M_p be the maximum of F_p over the angles:
$$M_p = \max_{\tilde{\alpha}; \tilde{\beta}} F_p(\tilde{\alpha}; \tilde{\beta})$$
- | QAOA needs a big parameter p to see the whole graph
- | As $p \rightarrow \infty$, $\lim_{p \rightarrow \infty} M_p = \max_z C(z)$

Evaluation of QAOA for NISQ: Number of iterations?

Figure: Credit: [Arute et al., 2020]

Evaluation of QAOA for NISQ: Number of qubits?

Figure: Credit: [Guerreschi and Matsuura, 2019]

Evaluation of QAOA for NISQ: Number of constraints?

- | Findings for MAX-CUT on connected 3-regular graphs [Farhi et al., 2014]
 1. For $p = 1$, QAOA will always produce a cut whose size is at least 0.6924 times the size of the optimal cut.
 2. This was the best known possible approximation for a few months until classical algorithm found.
 3. For $p = 2$, the approximation ratio becomes 0.7559 and grows depending on the type of graph.
- | Difficulty of solving problems with higher constraint ratios [Akshay et al., 2020]

Evaluation of QAOA for NISQ: Optimization method?

Optimization using gradients [Guerreschi and Smelyanskiy, 2017].

Evaluation of QAOA for NISQ: Generalizations?

- | Can be generalized to solve related problems [Had eld et al., 2019].
- | Factoring [Anschuetz et al., 2019].

Read also

- | Primary sources: [Farhi et al., 2014]
- | Additional source: [Wang and Abdullah, 2018]

Akshay, V., Philathong, H., Morales, M. E. S., and Biamonte, J. D. (2020).
Reachability de cits in quantum approximate optimization.
Phys. Rev. Lett., 124:090504.

Anschuetz, E., Olson, J., Aspuru-Guzik, A., and Cao, Y. (2019).
Variational quantum factoring.

In Feld, S. and Linnho -Popien, C., editors, Quantum Technology and
Optimization Problems, pages 74{85, Cham. Springer International Publishing.

Arute, F. C., Arya, K., Babbush, R., Bacon, D., Bardin, J., Barends, R., Boixo,
S., Broughton, M. B., Buckley, B. B., Buell, D. A., Burkett, B., Bushnell, N.,
Chen, J., Chen, Y., Chiaro, B., Collins, R., Courtney, W., Demura, S.,
Dunsworth, A., Farhi, E., Fowler, A., Foxen, B. R., Gidney, C. M., Giustina, M.,
Gra , R., Habegger, S., Harrigan, M., Hong, S., lo e, L., Isakov, S., Je rey, E.,
Jiang, Z., Jones, C., Kafri, D., Kechedzhi, K., Kelly, J., Kim, S., Klimov, P.,
Korotkov, A., Kostritsa, F., Landhuis, D., Laptev, P., Leib, M., Lindmark, M.,
Lucero, E., Martin, O., Martinis, J., McClean, J. R., McEwen, M., Megrant, A.,
Mi, X., Mohseni, M., Mruzkiewicz, W., Mutus, J., Naaman, O., Neeley, M.,
Neill, C., Neukart, F., Neven, H., Niu, M. Y., O'Brien, T. E., O'Gorman, B.,
Petukhov, A., Putterman, H., Quintana, C., Roushan, P., Rubin, N., Sank, D.,
Satzinger, K., Skolik, A., Smelyanskiy, V., Strain, D., Streif, M., Sung, K. J.,
Szalay, M., Vainsencher, A., White, T., Yao, J., Zalcman, A., and Zhou, L.
(2020).

Quantum approximate optimization of non-planar graph problems on a planar
superconducting processor.

arXiv:2004.04197.

Farhi, E., Goldstone, J., and Gutmann, S. (2014).
A quantum approximate optimization algorithm.

arXiv preprint arXiv:1411.4028.



Guerreschi, G. G. and Matsuura, A. Y. (2019).

Qaoa for max-cut requires hundreds of qubits for quantum speed-up.

Scientific Reports, 9(1):6903.



Guerreschi, G. G. and Smelyanskiy, M. (2017).

Practical optimization for hybrid quantum-classical algorithms.

arXiv preprint arXiv:1701.01450.



Hadfield, S., Wang, Z., O’Gorman, B., Rieffel, E. G., Venturelli, D., and Biswas, R. (2019).

From the quantum approximate optimization algorithm to a quantum alternating operator ansatz.

Algorithms, 12(2):34.



Wang, Q. and Abdullah, T. (2018).

An introduction to quantum optimization approximation algorithm.