

# Quantum algorithms: Shor's integer factoring quantum part

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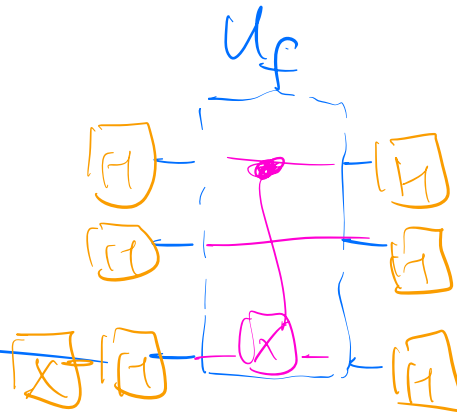
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$N=2$  P-J

	$f_0$	$f_1$	$f_2$	$f_3$
$f(00)$	0	0	0	0
$f(01)$	0	0	0	0
$f(10)$	0	0	1	1
$f(11)$	0	1	0	1

$f_0$ : const  
 $f_1$ :  $\uparrow$   
 $f_2$ :  $\uparrow$   
 $f_3$ : balanced



$$\begin{aligned}
 & |+\rangle \otimes |+\rangle \otimes |-\rangle \\
 &= \frac{|0\rangle+|1\rangle}{\sqrt{2}} \otimes \frac{|0\rangle+|1\rangle}{\sqrt{2}} \otimes \frac{|0\rangle-|1\rangle}{\sqrt{2}} \\
 &= \frac{|000\rangle}{2\sqrt{2}} - \frac{|001\rangle}{2\sqrt{2}} + \frac{|010\rangle}{2\sqrt{2}} - \frac{|011\rangle}{2\sqrt{2}} \\
 &+ \frac{|100\rangle}{2\sqrt{2}} - \frac{|101\rangle}{2\sqrt{2}} + \frac{|110\rangle}{2\sqrt{2}} - \frac{|111\rangle}{2\sqrt{2}}
 \end{aligned}$$

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Private key crypto.

AES-256

Key distribution.

BB84 Quantum key exchange.

Public Key Crypto.

Public key  
 $f(x)$

Private key  
 $f^{-1}(x)$

$N = p \times q$

$p$  or  $q$

RSA-2048

- 512

- 1024

- 2048

integer factorization  
discrete log  
elliptic curves

Post Quantum Crypto.

Lattices

McEliece -

etc

# The factoring problem

## One way functions for cryptography

1. Multiplying two  $b$ -bit numbers: on order of  $b^2$  time.
2. Best known classical algorithm to factor a  $b$ -bit number: on order of about  $2^{\sqrt[3]{b}}$  time.
  - ▶ Makes multiplying large primes a candidate one-way function.
  - ▶ It's an open question of mathematics to prove whether one way functions exist.

## Public key cryptography

Numberphile YouTube channel explanation of RSA public key cryptography:

<https://www.youtube.com/watch?v=M7kEpw1tn50>

# The factoring problem

## One way functions for cryptography

1. Multiplying two  $b$ -bit numbers: on order of  $b^2$  time.
2. Best known classical algorithm to factor a  $b$ -bit number: on order of about  $2^{\sqrt[3]{b}}$  time.

## Quantum integer factoring algorithm

- ▶ Quantum algorithm to factor a  $b$ -bit number:  $b^3$ .
- ▶ Peter Shor, 1994.
- ▶ Important example of quantum algorithm offering exponential speedup.

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## The factoring problem

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$$f(x) = f(x+r) \quad r?$$

### Shor's algorithm quantum part: period finding using quantum Fourier transform

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# The classical part: converting factoring to order finding / period finding

## General strategy for the classical part

1. Factoring
2. Modular square root
3. Discrete logarithm
4. Order finding
5. Period finding

The fact that a quantum algorithm can support all these primitives leads to additional ways that future quantum computing can be useful / threatening to existing cryptography.



# Factoring

$$N = pq$$

$$N = 15 = 3 \times 5$$

# Modular square root

## Finding the modular square root

$$\begin{cases} s^2 \bmod N \equiv q \\ s^2 - q \bmod N \equiv 0 \\ (s+2)(s-2) \bmod N \equiv 0 \end{cases}$$
$$\begin{cases} s^2 \bmod N \equiv q \\ s^2 - q \bmod N \equiv 0 \\ (s+3)(s-3) \equiv 0 \bmod N \end{cases}$$

$$s^2 \bmod N = 1 \quad s^2 - 1 \bmod N \equiv 0$$
$$s = \sqrt{1} \bmod N \quad (s+1)(s-1) \bmod N \equiv 0$$

Trivial roots would be  $s = \pm 1$ .

- ▶ Are there other (nontrivial) square roots?
- ▶ For  $N = 15$ ,  $s = \pm 4$ ,  $s = \pm 11$ ,  $s = \pm 14$  are all nontrivial square roots. (Show this).
- ▶ Later in these slides, we will see how nontrivial square roots are useful for factoring.

# Discrete log

$$2 \left( \begin{array}{c|c} 6 & 15 \\ 6 & 12 \\ \hline 0 & 3 \\ & 9 \end{array} \right) 2$$

1. Pick  $a$  that is relatively prime with  $N$ .
2. Efficient to test if relatively prime by finding GCD using Euclid's algorithm.  
For example,  $a=6$  and  $n=15$ .

**Exercise:** list the possible  $a$ 's for  $N = 15$ .

2, 4, 7, 8, 11, 13, 14

# Discrete log ↙

1. Pick  $a$  that is relatively prime with  $N$ .
2. Efficient to test if relatively prime by finding GCD using Euclid's algorithm.  
For example,  $a = 6$  and  $n = 15$ .

So now our factoring problem is:

$$a^r \pmod N = 1$$

$$\underline{a^r \equiv 1 \pmod N}$$

$$a^r - 1 \equiv 0 \pmod N$$

$$S = a^{\frac{r}{2}}$$

In fact, this algorithm for finding discrete log even more directly attacks other crypto primitives such as Diffie-Hellman key exchange.

# Order finding

$a=3$  3

9

12

6

3

...

Our discrete log problem is equivalent to order finding.

	$a^1 \pmod{15}$	$a^2 \pmod{15}$	$a^3 \pmod{15}$	$a^4 \pmod{15}$
a=2	2	4	8	1
a=4	4	1	4	1
a=7	7	4	13	1
a=8	8	4	2	1
a=11	11	1	11	1
a=13	13	4	7	1
a=14	14	1	14	1

4, 5  
2

Find smallest  $r$  such that  $a^r \equiv 1 \pmod{N}$

9

# Period finding

In other words, the problem by now can also be phrased as finding the period of a function.

$$f(x) = f(x + r)$$

Where

$$f(x) = a^x = a^{x+r} \pmod{N}$$

Find  $r$ .

# What to do after quantum algorithm gives you $r$

- ▶ If  $r$  is odd or if  $a^{\frac{r}{2}} + 1 \equiv 0 \pmod{N}$ , abandon.
- ▶ There is separate theorem saying no more than a quarter of trials would have to be tossed.

Exercise: try for  $a = 14$ .

$$a = 14 \quad a^{\frac{2}{2}} + 1 = 14 + 1 = 15 \equiv 0 \pmod{N}.$$

don't use  $a$  as trivial root.

# What to do after quantum algorithm gives you $r$

- ▶ If  $r$  is odd or if  $a^{\frac{r}{2}} + 1 \equiv 0 \pmod{N}$ , abandon.
- ▶ There is separate theorem saying no more than a quarter of trials would have to be tossed.

Exercise: try for  $a = 14$ .

Otherwise, factors are  $\text{GCD}(a^{\frac{r}{2}} \pm 1, N)$

$a=2$	$r=4$	$2^2 \pm 1 = 4 \pm 1$	
$a=4$	$r=2$	$4^1 \pm 1 = 4 \pm 1$	
$a=7$	$r=4$	$7^2 \pm 1 = 49 \pm 1$	
$a=8$	$r=4$	$8^2 \pm 1 = 64 \pm 1$	
$a=11$	$r=2$	$11^1 \pm 1 = 11 \pm 1$	
$a=13$	$r=4$	$13^2 \pm 1 = 169 \pm 1$	
$\rightarrow a=14$	$\rightarrow r=2$	$\rightarrow 14^2 \pm 1 = 196 \pm 1$	(bad case)

Notice why we discarded 14.



# Proof why this works and why factoring is modular square root

$$a^r \equiv 1 \pmod{N}$$

So now  $a^{\frac{r}{2}}$  is a nontrivial square root of 1 mod N.

$$a^r - 1 \equiv 0 \pmod{N} \Rightarrow$$

$$(a^{\frac{r}{2}} - 1)(a^{\frac{r}{2}} + 1) \equiv 0 \pmod{N}$$

The above implies that

$$\frac{(a^{\frac{r}{2}} - 1)(a^{\frac{r}{2}} + 1)}{N}$$

is an integer. So now we have to prove that

1.  $\frac{a^{\frac{r}{2}} - 1}{N}$  is not an integer, and
2.  $\frac{a^{\frac{r}{2}} + 1}{N}$  is not an integer.

$$(a^r - 1) = kN$$

$$(a^{\frac{r}{2}} + 1)(a^{\frac{r}{2}} - 1) = kN$$

$$\frac{(a^{\frac{r}{2}} + 1)(a^{\frac{r}{2}} - 1)}{N} = k$$

$$\frac{(14^{\frac{2}{2}} + 1)(13)}{15} = k$$

# Proof why this works and why factoring is modular square root

Suppose  $\frac{a^{\frac{r}{2}}-1}{N}$  is an integer

that would imply

$$a^{\frac{r}{2}} - 1 \equiv 0 \pmod{N}$$

$$a^{\frac{r}{2}} \equiv 1 \pmod{N}$$

but we already defined  $r$  is the smallest such that  $a^r \equiv 1 \pmod{N}$ , so there is a contradiction, so  $\frac{a^{\frac{r}{2}}-1}{N}$  is not an integer.

Suppose  $\frac{a^{\frac{r}{2}}+1}{N}$  is an integer

that would imply

$$a^{\frac{r}{2}} + 1 \equiv 0 \pmod{N}$$

but we already eliminated such cases because we know this doesn't give us a useful result.

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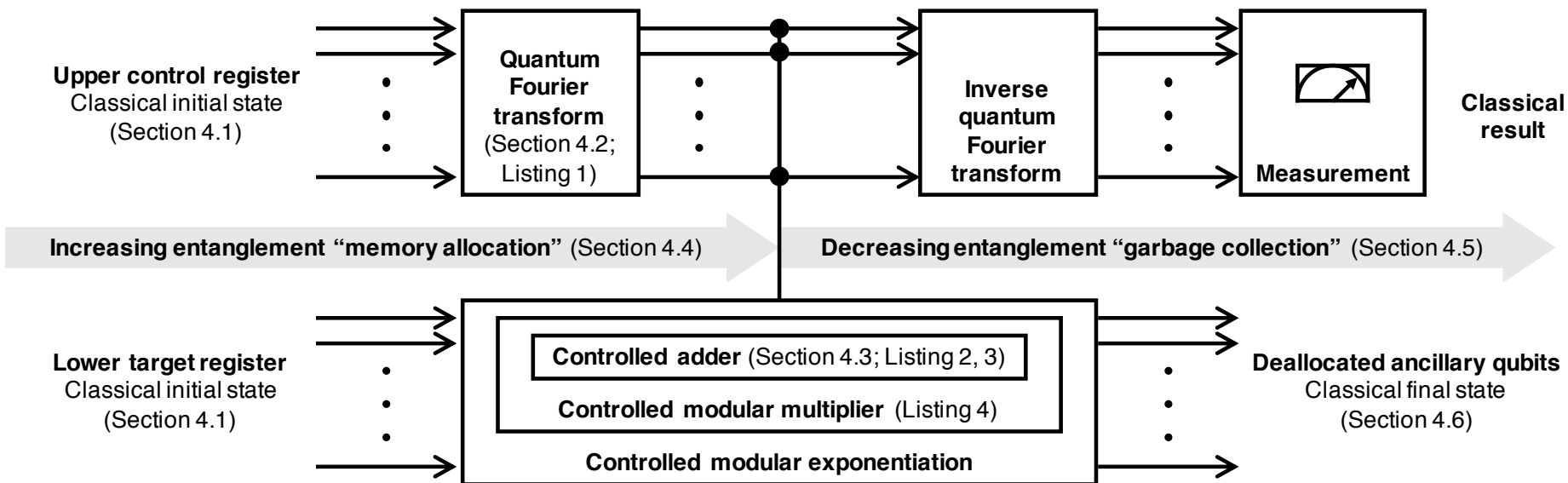
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Simon's algorithm: setting up for quantum Fourier transform

# The quantum part: period finding using quantum Fourier transform

- ▶ After picking a value for  $a$ , use quantum parallelism to calculate modular exponentiation:  $a^x \pmod N$  for all  $0 \leq x \leq 2^n - 1$  simultaneously.
- ▶ Use interference to find a global property, such as the period  $r$ .

# Calculate modular exponentiation



- ▶ Image source: Huang and Martonosi, Statistical assertions for validating patterns and finding bugs in quantum programs, 2019.
- ▶ A good source on how to build the controlled adder, controlled multiplier, and controlled exponentiation is in Beauregard, Circuit for Shor’s algorithm using  $2n+3$  qubits, 2002.

# Calculate modular exponentiation

- ▶ State after applying modular exponentiation circuit is

$$\frac{1}{\sqrt{2^n}} \sum_{x=0}^{2^n-1} |x\rangle |f(x)\rangle$$

- ▶ Concretely, using our running example of  $N = 15$ , need  $n = 4$  qubits to encode, and suppose we picked  $a = 2$ , the state would be

$$\frac{1}{4} \sum_{x=0}^{15} |x\rangle |2^x \pmod{15}\rangle$$

## Measurement of target (bottom, ancillary) qubit register

- ▶ We then measure the target qubit register, collapsing it to a definite value. The state of the upper register would then be limited to:

$$\frac{1}{\sqrt{A}} \sum_{a=0}^{A-1} |x_0 + ar\rangle$$

- ▶ Concretely, using our running example of  $N = 15$ , and suppose we picked  $a = 2$ , and suppose measurement results in 2, the upper register would be a uniform superposition of all  $|x\rangle$  such that  $2^x \equiv 2 \pmod{15}$ :

$$\frac{|1\rangle}{2} + \frac{|5\rangle}{2} + \frac{|9\rangle}{2} + \frac{|13\rangle}{2}$$

- ▶ The key trick now is can we extract the period  $r = 4$  from such a quantum state. We do this using the quantum Fourier transform.

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